

The background of the slide is a detailed illustration of the Pioneer 10 spacecraft in space. The spacecraft is shown from a perspective that highlights its complex structure, including the large parabolic dish antenna, the main body, and the long boom with the external boom antenna. The background is a dark, star-filled space with a large, glowing celestial body, likely Jupiter, in the upper right corner. The text is overlaid on this scene.

# The Pioneer Anomaly: Known and Unknown Unknowns

by ~~Donald Rumsfeld~~  
Viktor T. Toth

Pioneer Anomaly seminar  
Perimeter Institute for Theoretical Physics, May 26, 2011



# The Pioneer 10/11 missions

- Launched in 1972 and 1973
- First to explore beyond Mars
- First to visit Jupiter and Saturn
- Planned duration: 600-900 days

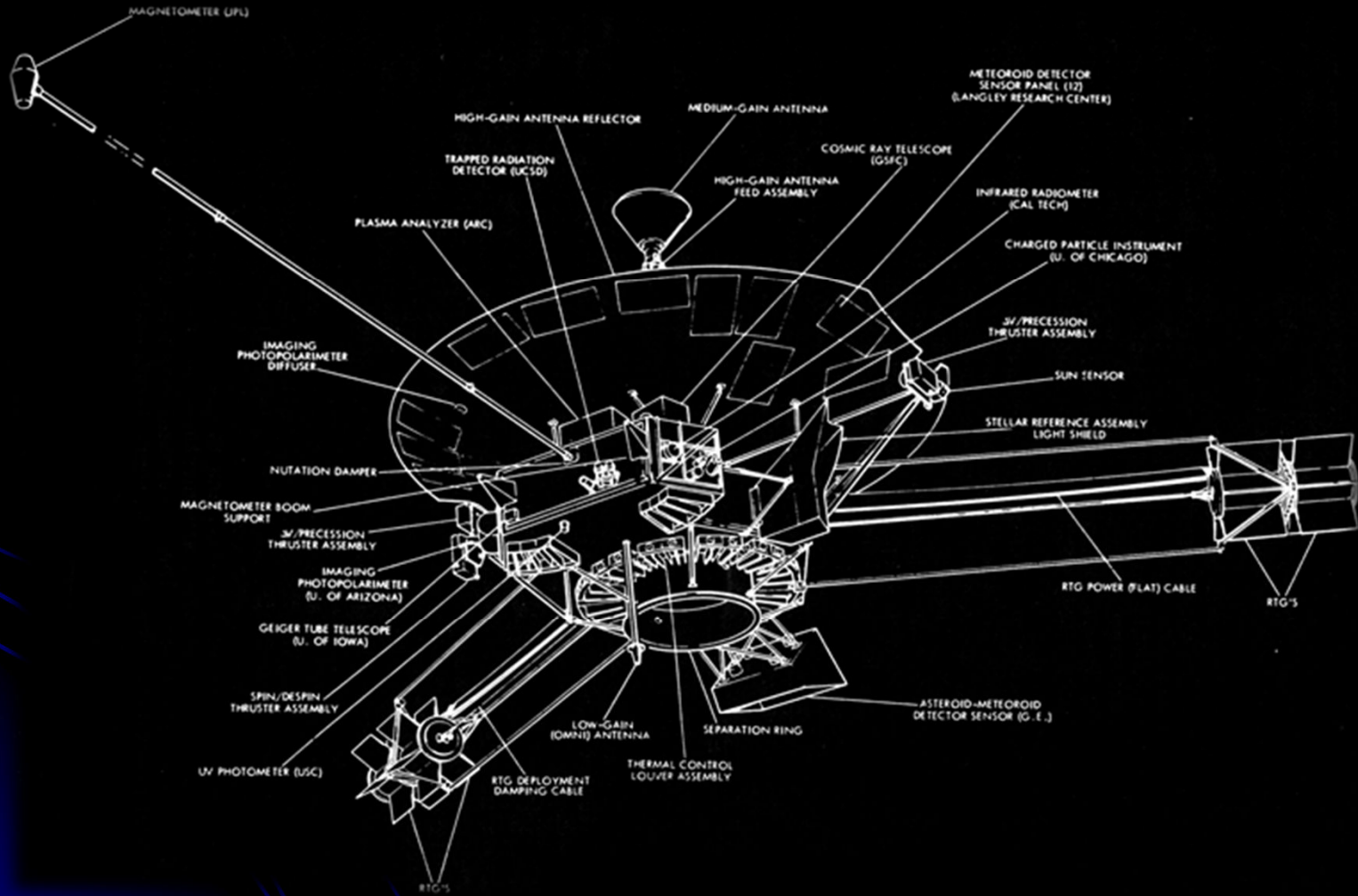


# Mission objectives

- Primary Objectives
  - Explore the asteroid belt
  - Explore beyond Mars
  - Explore Jupiter
- Secondary Objectives
  - Explore the outer solar system
  - Search for gravity waves
  - Search for “Planet X”



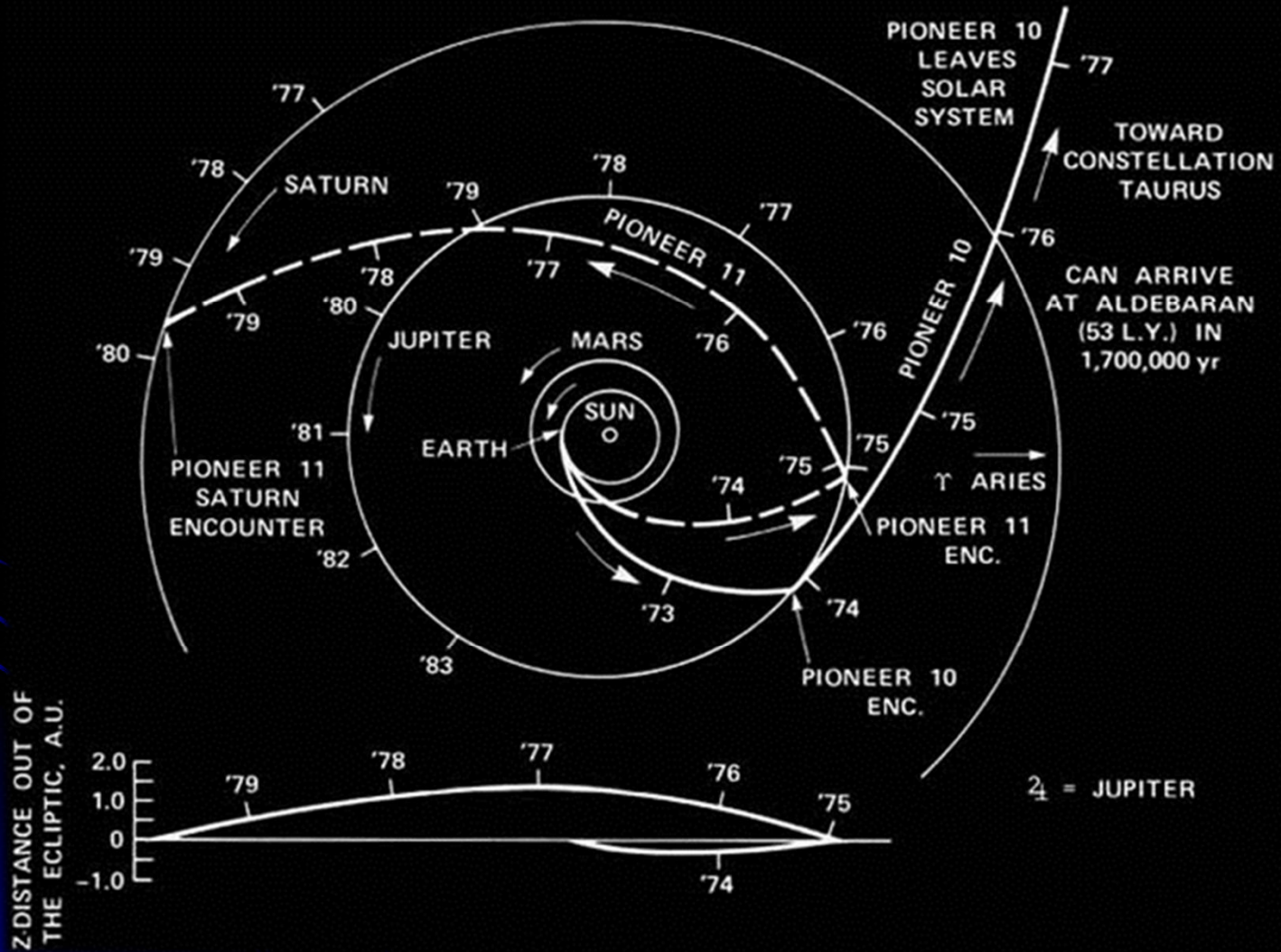
# The Pioneer spacecraft



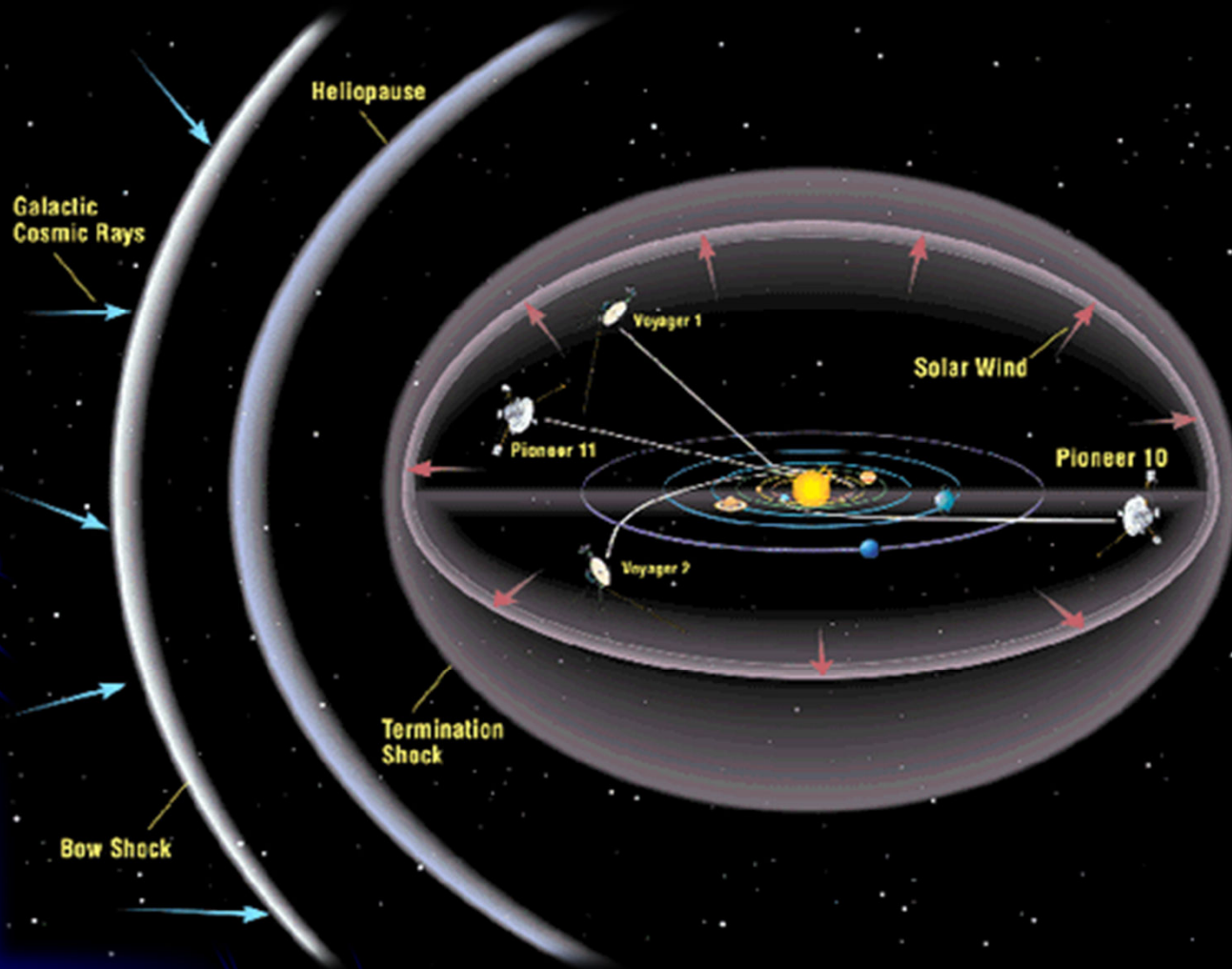
# The Pioneer spacecraft

- Mass: ~250 kg
- Radioisotope Thermoelectric Generators
- Electrical Power: ~160 W (at launch)
- 11 Scientific Instruments
- 2.75 m High Gain Antenna
- Transmitter: 8 W
- Data rate: 16-2048 bps
- Spin stabilized (4.8 rpm nominal)

# Pioneer orbits – early years



# Pioneer and Voyager orbits through the outer solar system



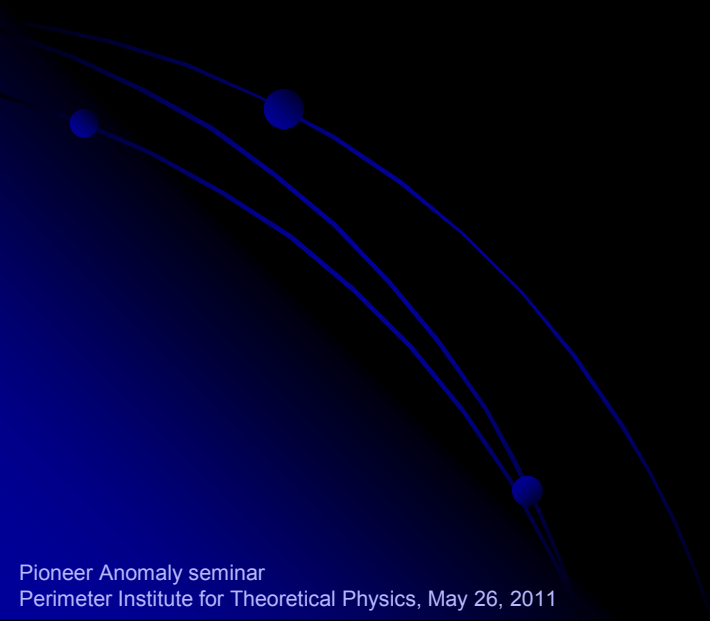
# Orientation maneuvers

- Few maneuvers needed for spinning spacecraft
- Few maneuvers → clean data
- Ingenious “Closed loop” CONSCAN maneuver lets the spacecraft “home in” on DSN signal
- Late in the mission, ~2 CONSCANs a year were performed



# Pioneer 10 after 30 years

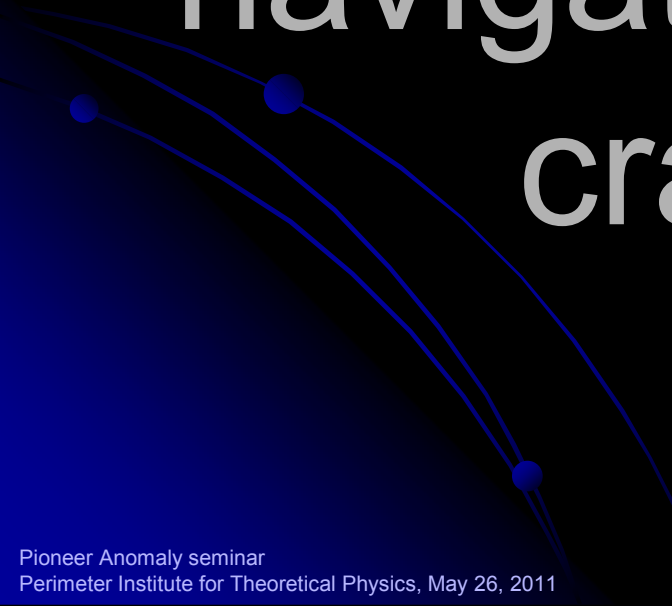
- Distance from Sun: ~80 AU
- Round-trip light time: ~21 hours
- Speed relative to the Sun: ~12 km/s



# Pioneer 10 after 30 years

- One instrument (GTT) was still operating (power-down command sent last track, but never confirmed)
- Bus voltage  $\sim 26\text{VDC}$  instead of nominal  $28\text{VDC}$
- Transmitter XCO failed (probably due to cold)
- Transmitter still operating in coherent mode
- Many temperature readings “off scale” or outside calibrated ranges
- Propellant lines frozen (no maneuvers possible)

Pioneer 10/11 are the  
most precisely  
navigated deep space  
craft to date.



# The Pioneer Anomaly

- Anomalous acceleration of the Pioneer 10/11 spacecraft was detected in the 1980s, confirmed by several research teams
- May be mechanical in origin, may be “new physics”
- In the past, limited stretches of data were studied; new effort under way with complete data set, including on-board telemetry.

# Discovery of the Anomaly

- Search began in 1979 (for “Planet X”)
- Anomaly first detected in 1980
- Initial JPL ODP analysis in 1990-95
- Aerospace Corporation confirms: 1996-98
- Another independent confirmation by Markwardt (2002)
- Also confirmed independently by Olsen (2005), Toth (2009)

# Interpreting the residual

- Frequency drift:  $(5.99 \pm 0.01) \times 10^{-9}$  Hz/s (@ ~2 GHz)
- Velocity change:  $(8.74 \pm 1.33) \times 10^{-10}$  m/s<sup>2</sup>
- Clock acceleration:  $(2.92 \pm 0.44) \times 10^{-18}$  s/s<sup>2</sup>
- Velocity change (acceleration) is the “conventional” interpretation
- Effect small by engineering standards, but huge by the standards of gravity physics

# Consensus as of 2006

- The Pioneer Anomaly is real
- Conventional physics *fails* to explain it
- Alternatives proposed include
  - Gravity modification (MOND, MSTG, Yukawa potential)
  - Dark matter
  - Cosmological origin
- $|a_P| \approx cH_0$ : coincidence?

# Background

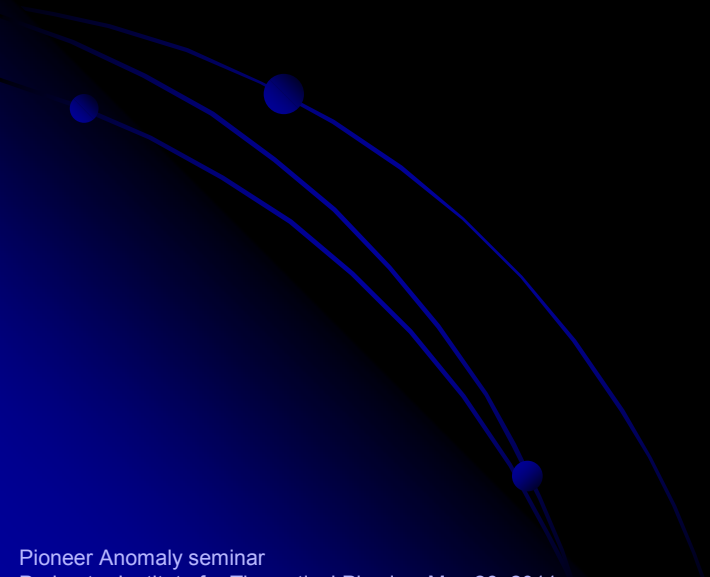
- First “Pioneer Collaboration” meeting: ISSI, November 2005
- Presentation of newly recovered telemetry: complete thermal, electrical and operational record of the Pioneer 10 and 11 spacecraft
- Discussions with Slava Turyshev: No detailed thermal model for Pioneer!



# Why is it important?

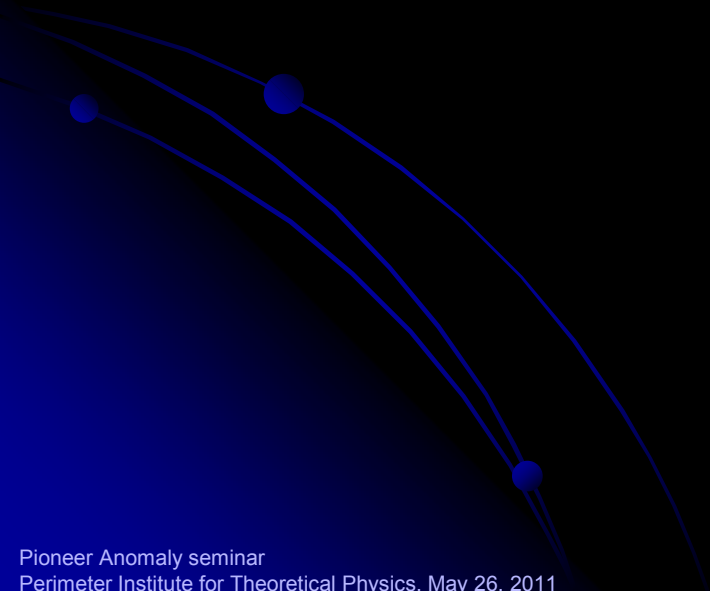
- Total thermal output: 2.5 kW
- Small anisotropy:  $-2.5\%$  on one side,  $+2.5\%$  on the other, sufficient to explain acceleration
- Thermal models are approximations
- The anisotropy is a difference that is almost 2 orders of magnitude smaller than the estimated quantities

# ACCURACY IS ESSENTIAL!



# But difficult...

- Spacecraft were built 40 years ago
- Documentation is incomplete, some saved from dumpster



# BUT...

- We recovered the complete telemetry record of both craft
- Telemetry is low resolution but redundant
- Sufficient documentation exists to reconstruct thermal power and material properties

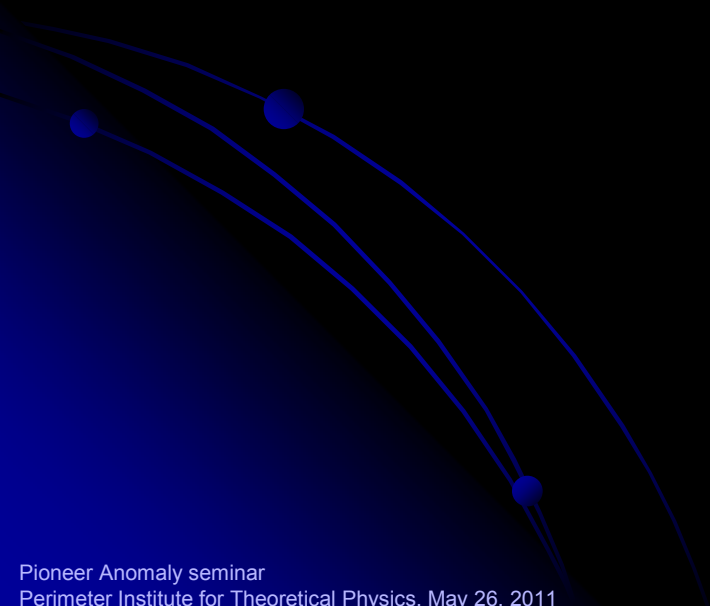
# What we are trying to do...

- It's not a question of either-or, but a question of how much
- Recoil force is conventional physics...

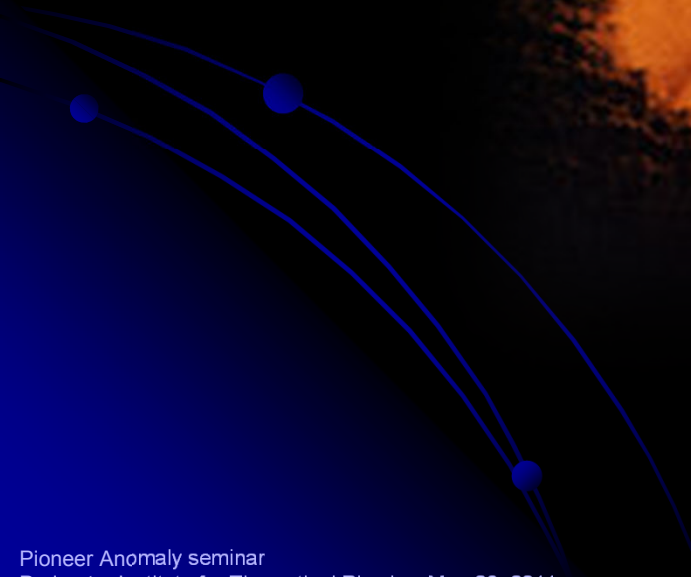
# **WE CANNOT IGNORE CONVENTIONAL PHYSICS!**

# The case for thermal recoil

- Let me establish the case for the thermal recoil force:



# The case for thermal recoil





# The case for thermal recoil

- Case has been made in 1998
- Case has been made by many others since...
- “Back of the envelope” models are a dime a dozen:

$$P_{1 \rightarrow 2} = \iint P_1 \cos \chi_1 \cos \chi_2 / \pi r^2 dA_1 dA_2$$

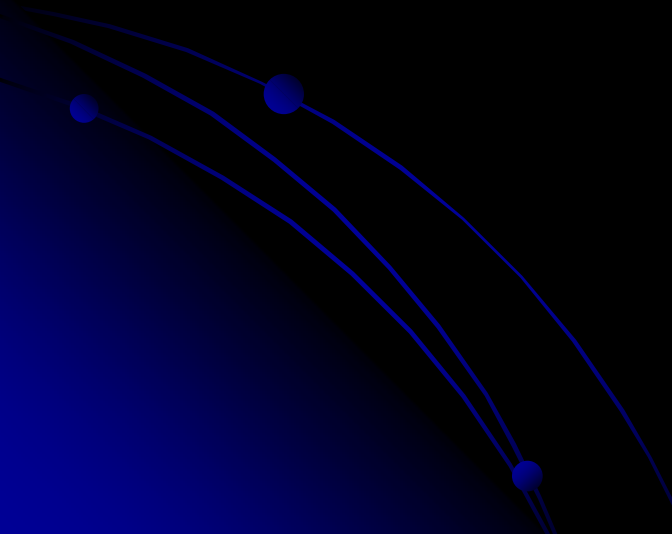
- Doing it the right way is hard.

# The ideas are not new...

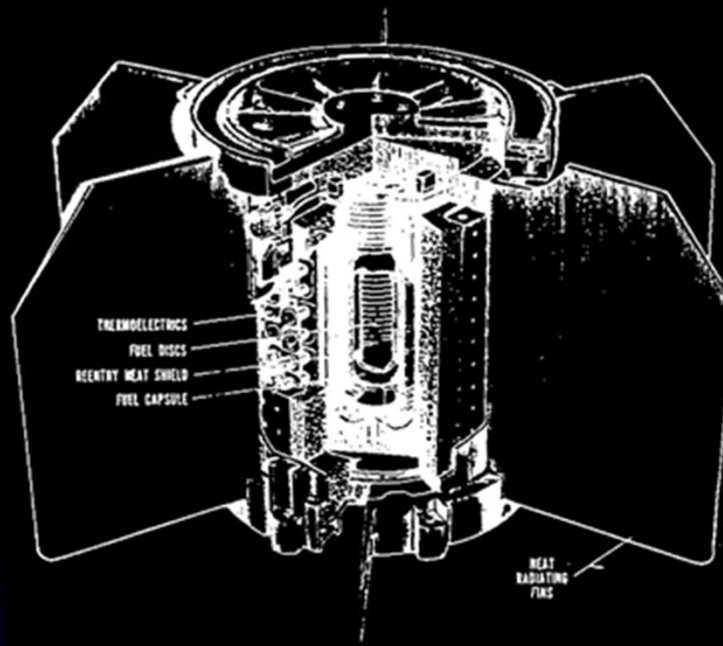
- They have been around for some time:
  - Murphy (1999): Electrical heat accounts for much of the acceleration
  - Katz (1999): Electrical heat and reflected RTG heat account for the acceleration
  - Scheffer (2003): Combination of conventional forces (including paint degradation) explain acceleration
- Dismissed using “back-of-the-envelope” estimates

# Heat sources

- Heat sources are easily enumerated:
  - RTG waste heat ( $\sim 2.5$  kW)
  - Electrical heat ( $\sim 100$  W)
  - RHUs ( $\sim 10$  W)
  - Propulsion system (transient)



# Pioneer power source



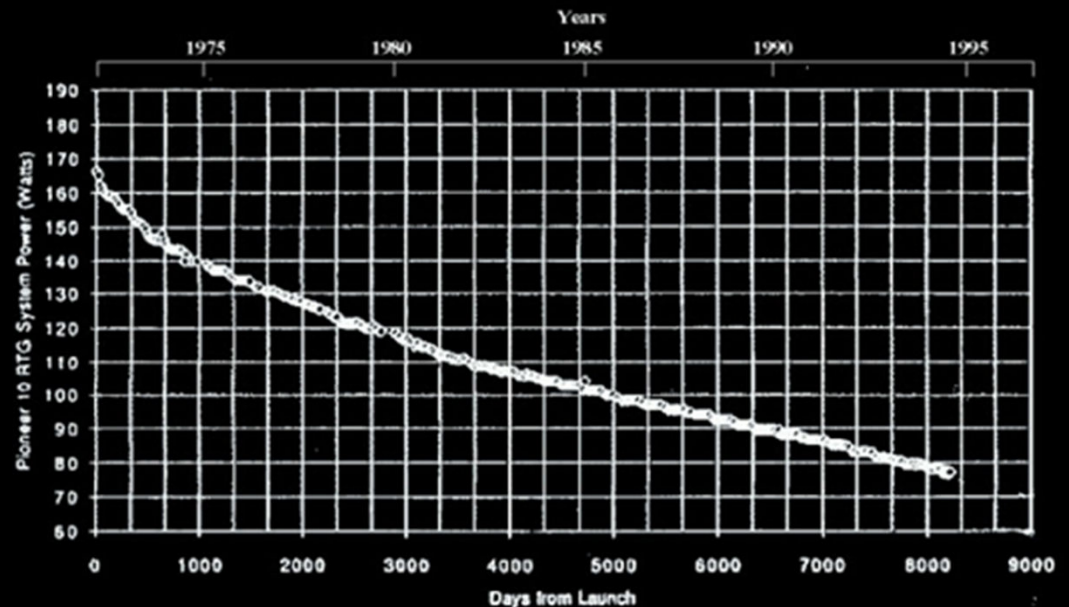
SNAP 19/PIONEER RADIOISOTOPE THERMOELECTRIC GENERATOR

RTG Thermal Power: ~650W

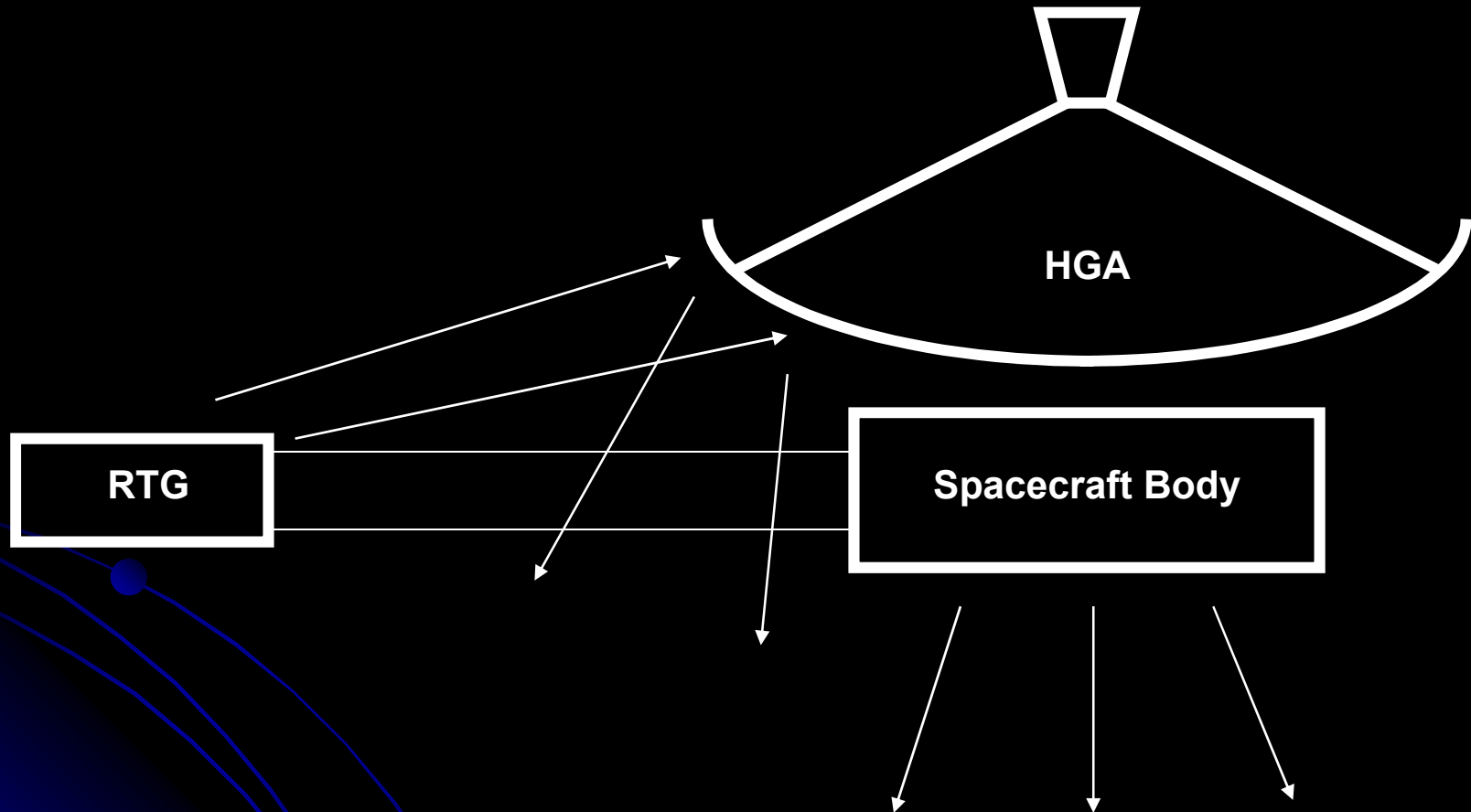
Electrical Power: ~40W

4 RTGs per spacecraft

~4.6 kg  $^{238}\text{Pu}$  on board



# Thermal recoil geometry



# Significance of spin

- Thermal forces are slowly changing. Rate of change much smaller than angular velocity:  $\dot{F}/F \ll \omega/\pi$
- To first order, force components perpendicular to spin axis average to zero
- Hence only spin axis component of thermal forces needs to be computed

# Linear behavior

- The two significant non-transient heat sources are electrical and RTG:

$$F \approx c^{-1} \sum q_i P_i \quad (P_i = P_{\text{rtg}}, P_{\text{elec}})$$

- No significant trapped heat relative to the rate of change in temperatures (no latency)
- No significant variability in the emission/absorption spectrum of materials at spacecraft temperatures
- Physical configuration of spacecraft and mass constant during deep space cruise
- Temperatures are high enough
  - it can be shown that the necessary condition is  $T^3 \gg k/\sigma\epsilon l$ , where  $k$  is the conductance,  $\epsilon$  is the emittance,  $l$  is the scale or thickness of the material, and  $\sigma$  is the Stefan-Boltzmann constant

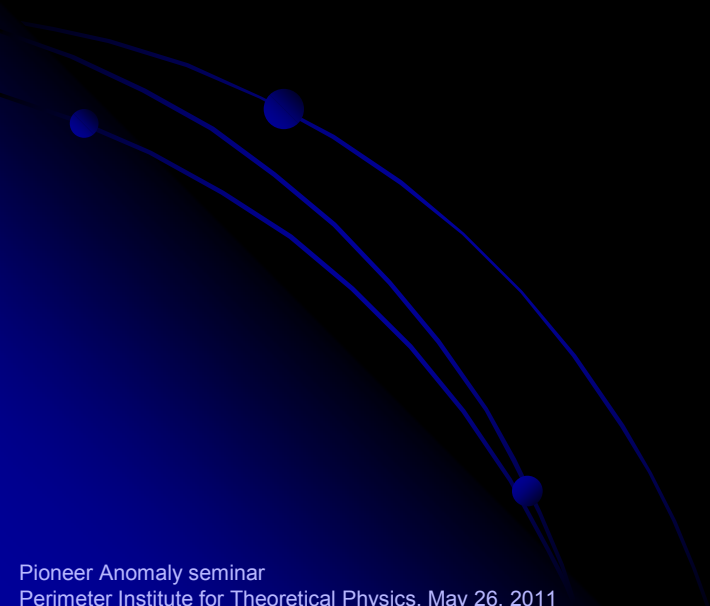
# Constancy and direction

- Isn't the acceleration a) constant, b) sunward?
- Short answer: No
- Long(er) answer: Acceleration is not the observable.
- Long answer: ...

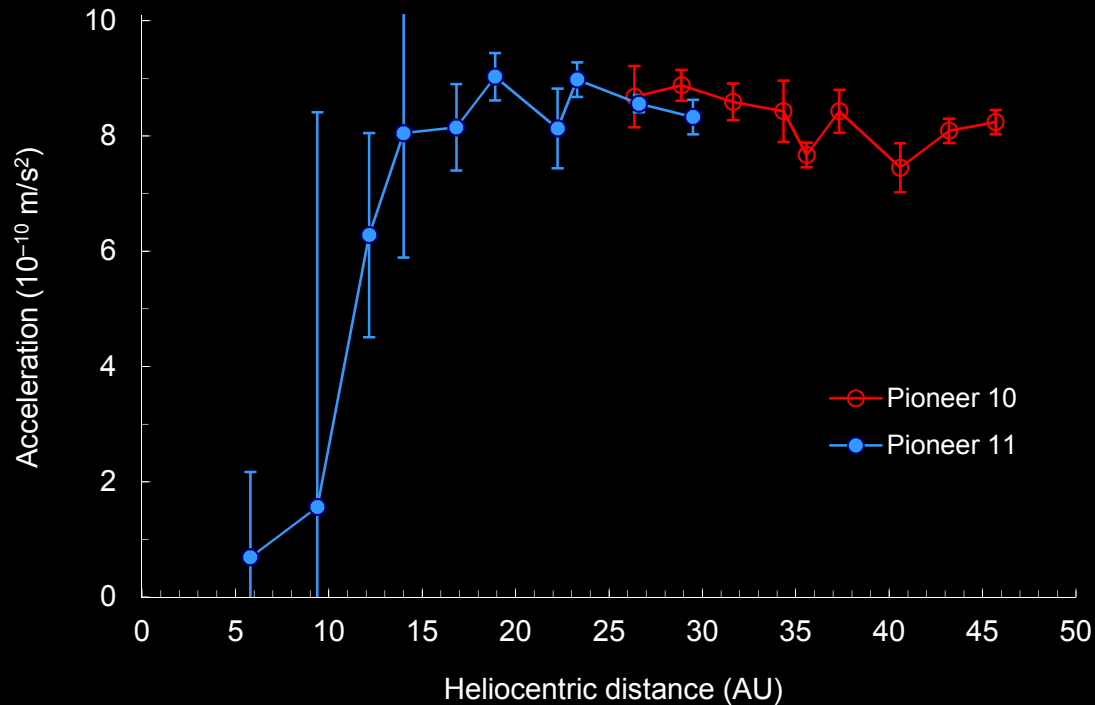


# The Pioneer Anomaly is NOT

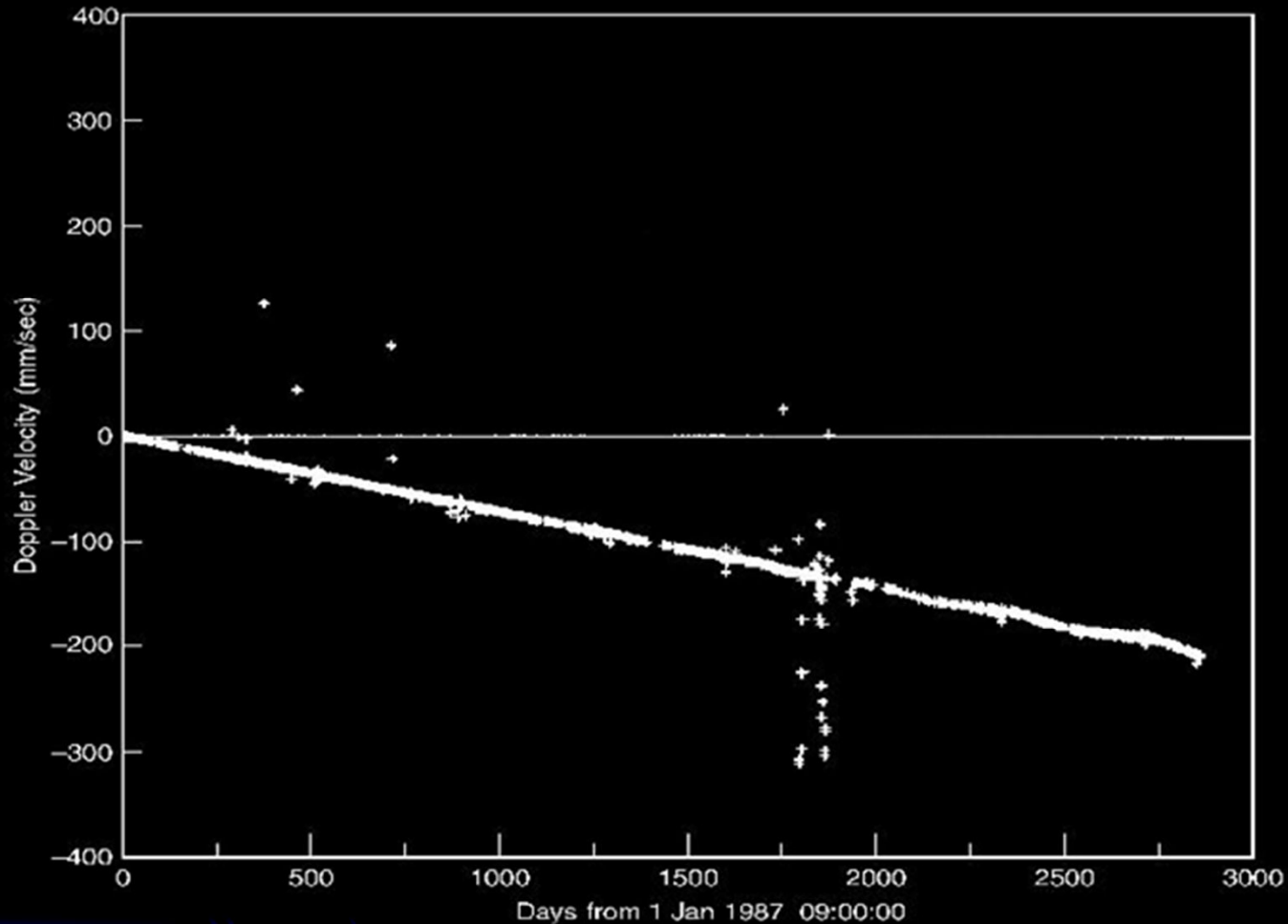
$$a_P = (8.74 \pm 1.33) \times 10^{-10} \text{ m/s}^2$$



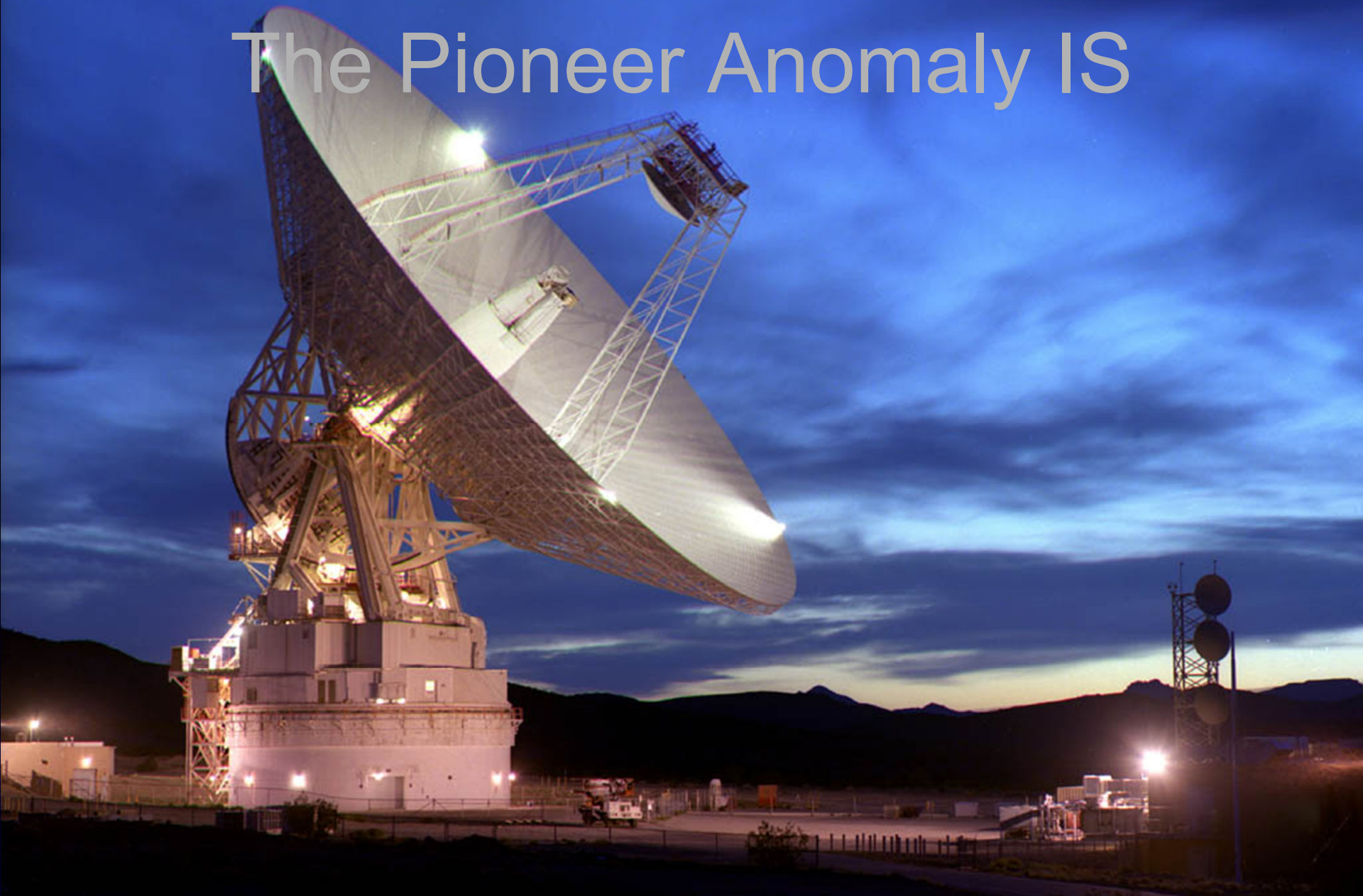
# The Pioneer Anomaly is NOT



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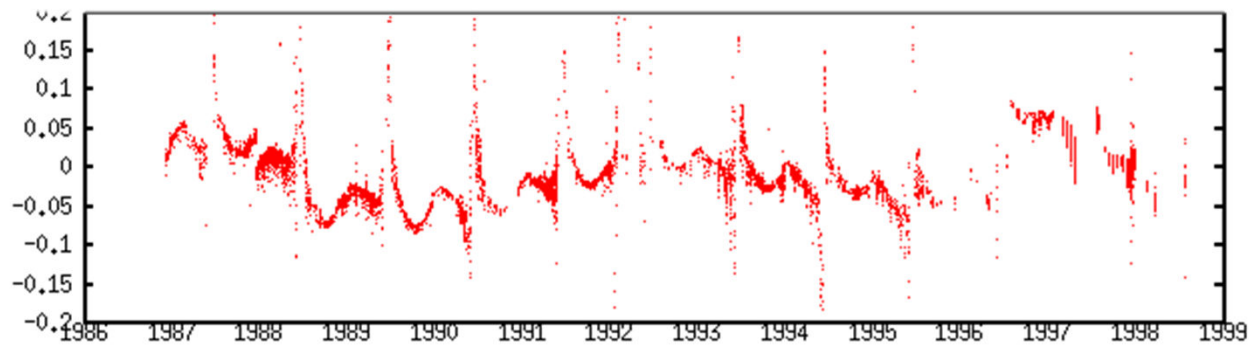


# The Pioneer Anomaly IS



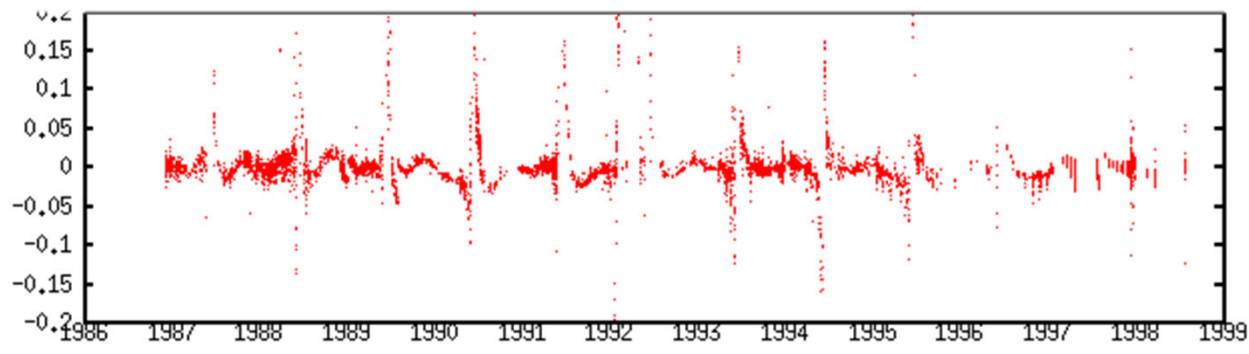
# The Pioneer Anomaly IS

this:



# The Pioneer Anomaly IS

instead of this:



**THE PIONEER ANOMALY IS OUR  
INABILITY TO MODEL THE  
DOPPLER RESIDUAL AT THE  
EXPECTED LEVEL OF ACCURACY  
USING ONLY KNOWN  
CONVENTIONAL PHYSICS.**

# The solution

- Navigators aren't doing fundamental physics. They fix the *navigational problem* by introducing fictitious forces.



# The canonical solution

- A constant sunward acceleration ( $a_P = (8.74 \pm 1.33) \times 10^{-10} \text{ m/s}^2$ ) fixes the problem. It does NOT mean that the Pioneer spacecraft necessarily experience a constant sunward acceleration.

# Other solutions

- A temporally decaying acceleration fixes the problem and it is slightly better (no statistically significant difference.)
- Earthward acceleration fixes the problem.
- Earthward, temporally decaying acceleration fixes the problem.
- Other, equally valid solutions also exist.

# The goodness of fit

- To compare solutions, we compare residuals
- Even the best residual contains plenty of noise:
  - Mismodeling of the solar system
  - Unknowns: solar plasma, troposphere, other effects
  - Unmodeled forces: small leaks
  - Measurement noise, clock stability, etc.
  - Numerical accuracy

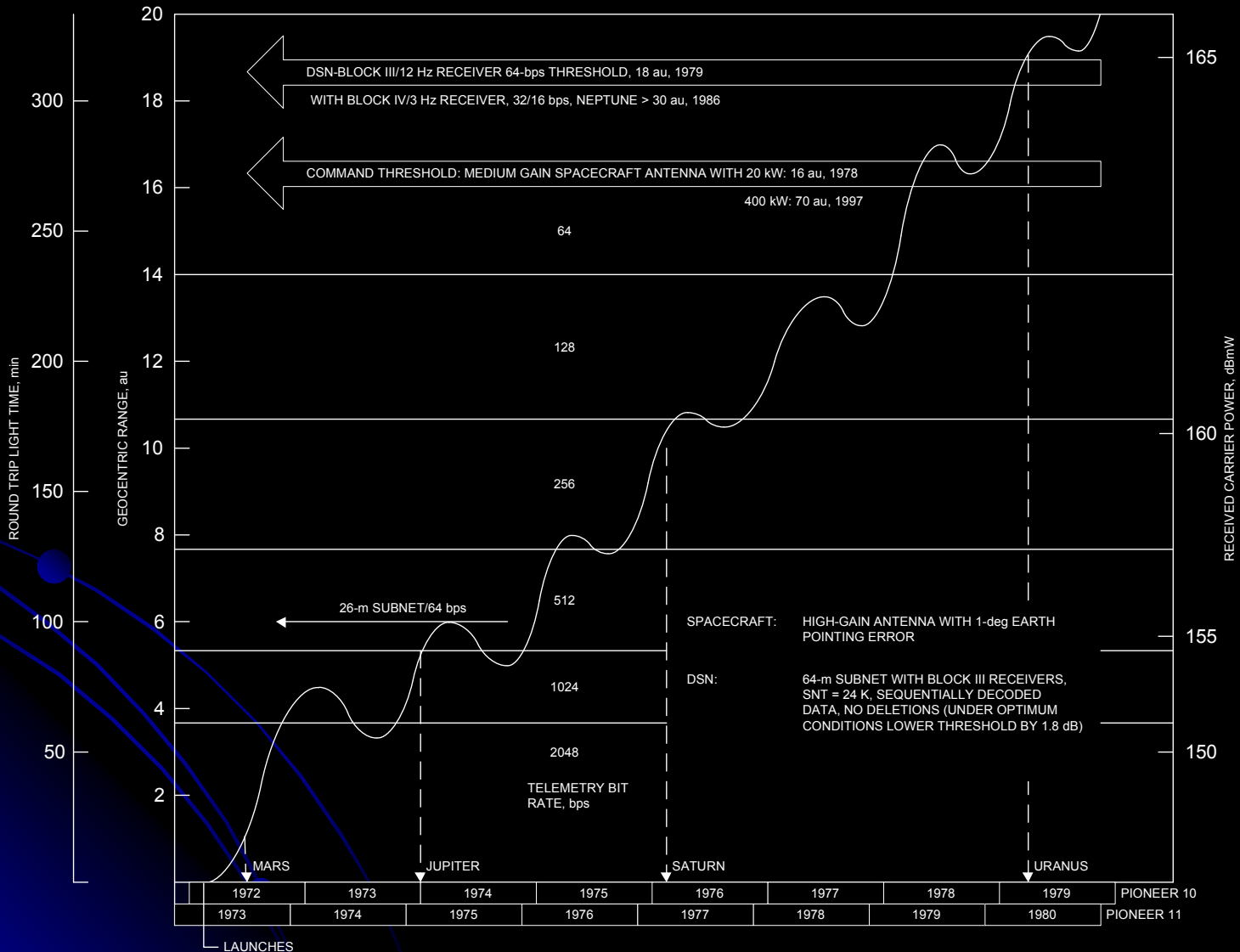
**THE PIONEER SIGNAL IS  
MODELED WITH AN ERROR AS  
LOW AS  $\sim 2$  mHz OVER 20 YEARS  
IN A 2.29 GHz RADIO SIGNAL!**

# Accuracy

- Measurement and models must be accurate to better than 1 part in  $10^{14}$  over 20 years.
- (IEEE 64-bit double precision floating point accuracy: less than 1 part in  $10^{16}$ .)



# Downlink power budget



# Noise is inevitable

- Some of it is random, some not
- Residuals have visible structure
- This explains the difference between unreasonably tight “formal errors” and realistic errors



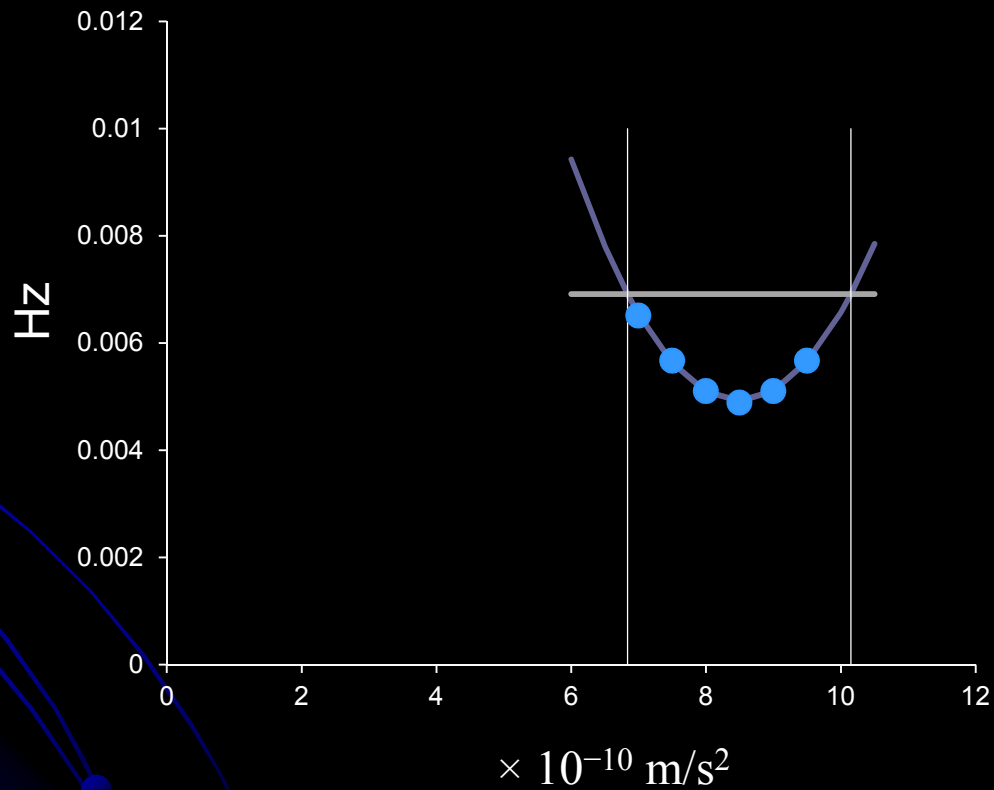
# Autocorrelation

- Statistical methods exist for estimating autocorrelation and the effective degrees of freedom (DOF) in unevenly sampled data
  - Computational difficulties
  - Stability of results
- If we use a crude estimate and assume that  $\text{DOF} = \text{number of model parameters}$ , we get “realistic errors”

# Effect on residuals

- Detuning the model should increase residuals
- If increase is negligible, the error bars on the detuned parameters must be correspondingly large
- What is a negligible increase?

# Effect on residuals



# The “right way”

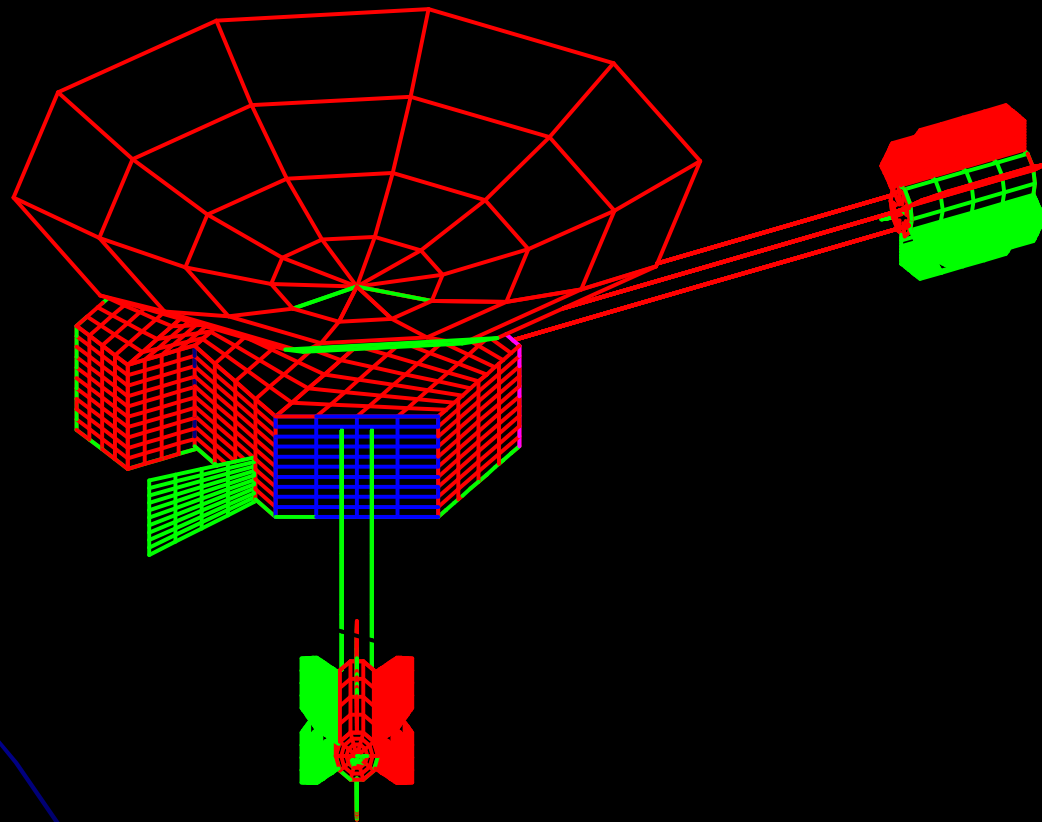
- Build a comprehensive thermal model
- Use all available data: Validate the model using redundant telemetry
- Incorporate the model into the orbit determination code to model the actual observable (Doppler)

# Or the highway?

- Recovered the telemetry
- Constructed a “crude” geometric model
- Constructed a refined ray-traced model using simple isothermal surfaces but real material properties and power
- Built independent orbit determination code that incorporated the thermal recoil



# A more complicated model



# More results

- Thrust and spin rate change

fe\_elec

```
Iteration 1: Thrust = -36.436 / 94.8192
Iteration 2: Thrust = -37.2946 / 99.5209
Iteration 3: Thrust = -37.3916 / 100.052
Iteration 4: Thrust = -37.403 / 100.114
Iteration 5: Thrust = -37.4044 / 100.122
Iteration 6: Thrust = -37.4045 / 100.122
Iteration 7: Thrust = -37.4045 / 100.123
Iteration 8: Thrust = -37.4045 / 100.123
Iteration 9: Thrust = -37.4045 / 100.123
Iteration 10: Thrust = -37.4045 / 100.123
DONE.
```

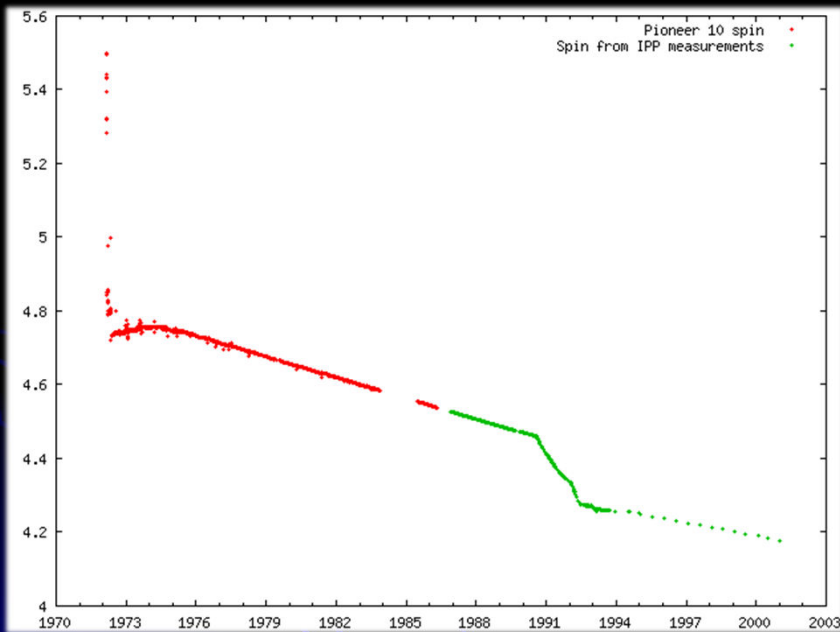
fe\_rtg

```
Iteration 1: Thrust = -0.0130118 / 0.869754, Torque(0.00721829,0.494318,0.00466599)
Iteration 2: Thrust = -0.0107575 / 0.986882, Torque(0.00146408,0.335283,0.01585)
Iteration 3: Thrust = -0.0104659 / 0.999871, Torque(-0.000599535,0.311347,0.0123182)
Iteration 4: Thrust = -0.0104445 / 1.00114, Torque(-0.000857704,0.309028,0.0117846)
Iteration 5: Thrust = -0.0104432 / 1.00125, Torque(-0.000883748,0.30882,0.0117335)
Iteration 6: Thrust = -0.0104431 / 1.00126, Torque(-0.000886127,0.308802,0.0117291)
Iteration 7: Thrust = -0.010443 / 1.00126, Torque(-0.000886442,0.3088,0.0117287)
Iteration 8: Thrust = -0.010443 / 1.00126, Torque(-0.000886456,0.3088,0.0117287)
Iteration 9: Thrust = -0.010443 / 1.00126, Torque(-0.000886456,0.3088,0.0117287)
DONE.
```

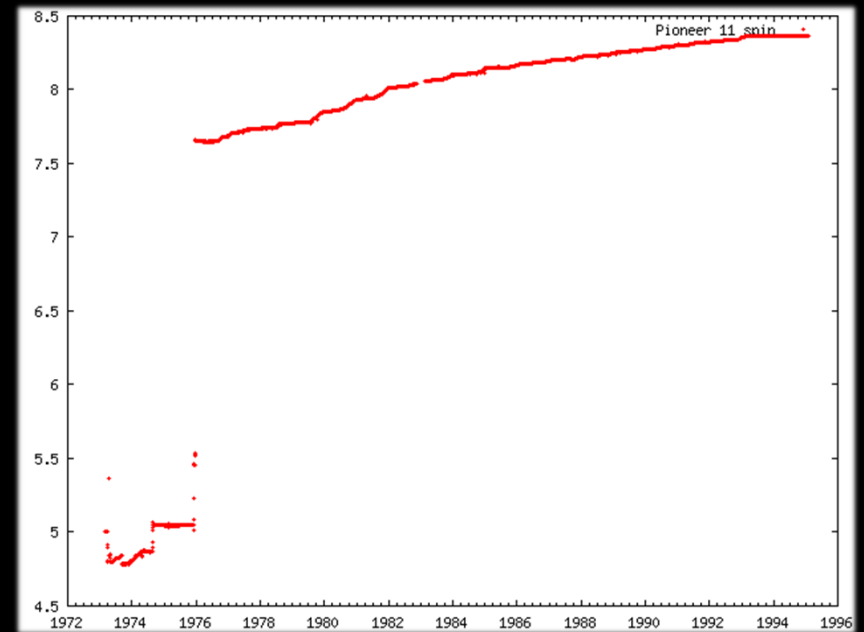


# Spin history

## Pioneer 10 spin

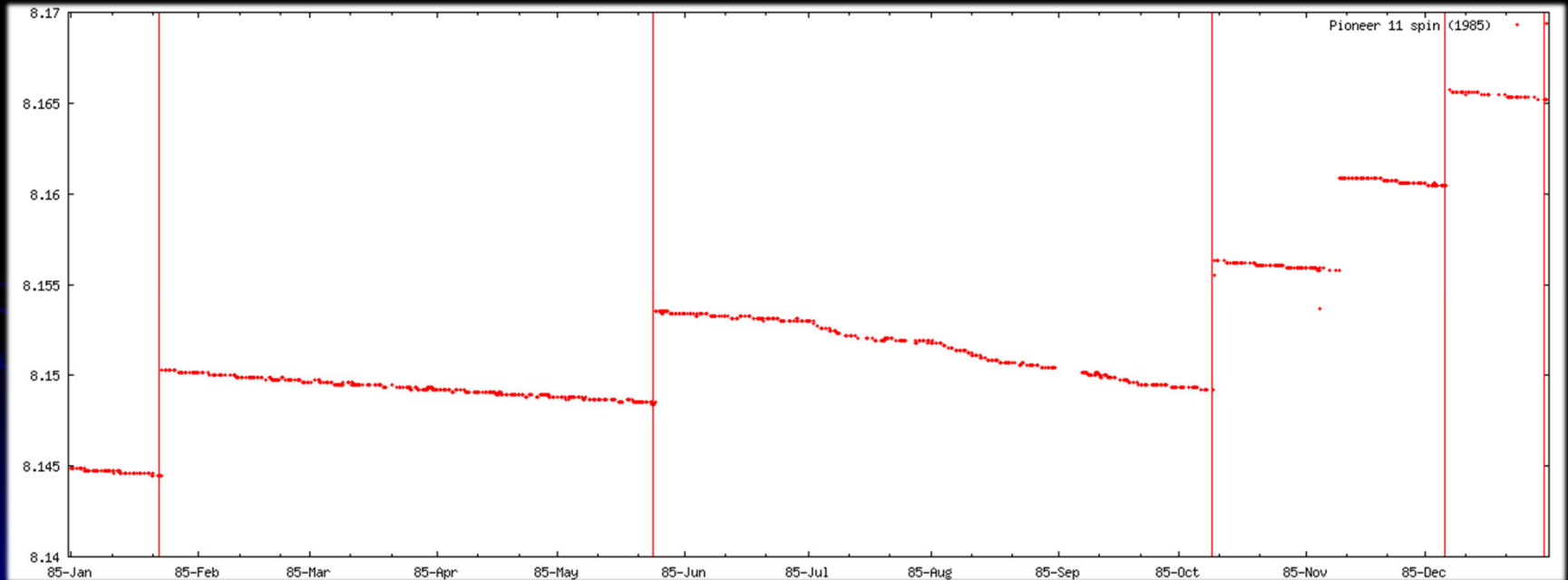


## Pioneer 11 spin

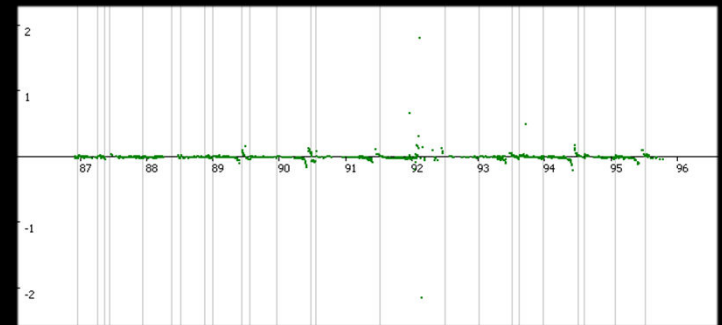
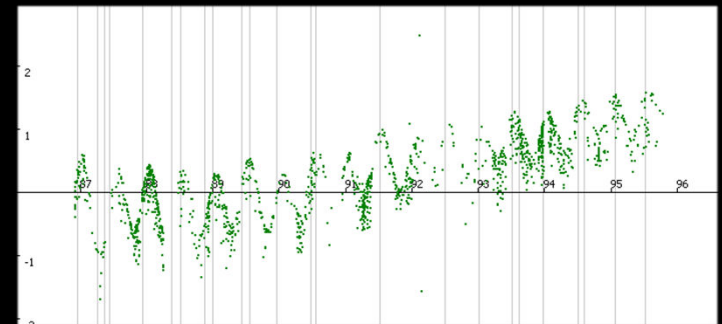
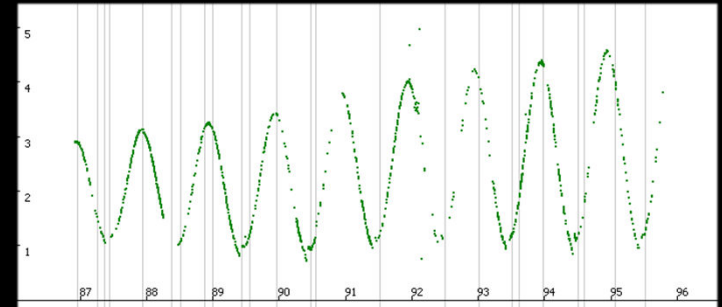
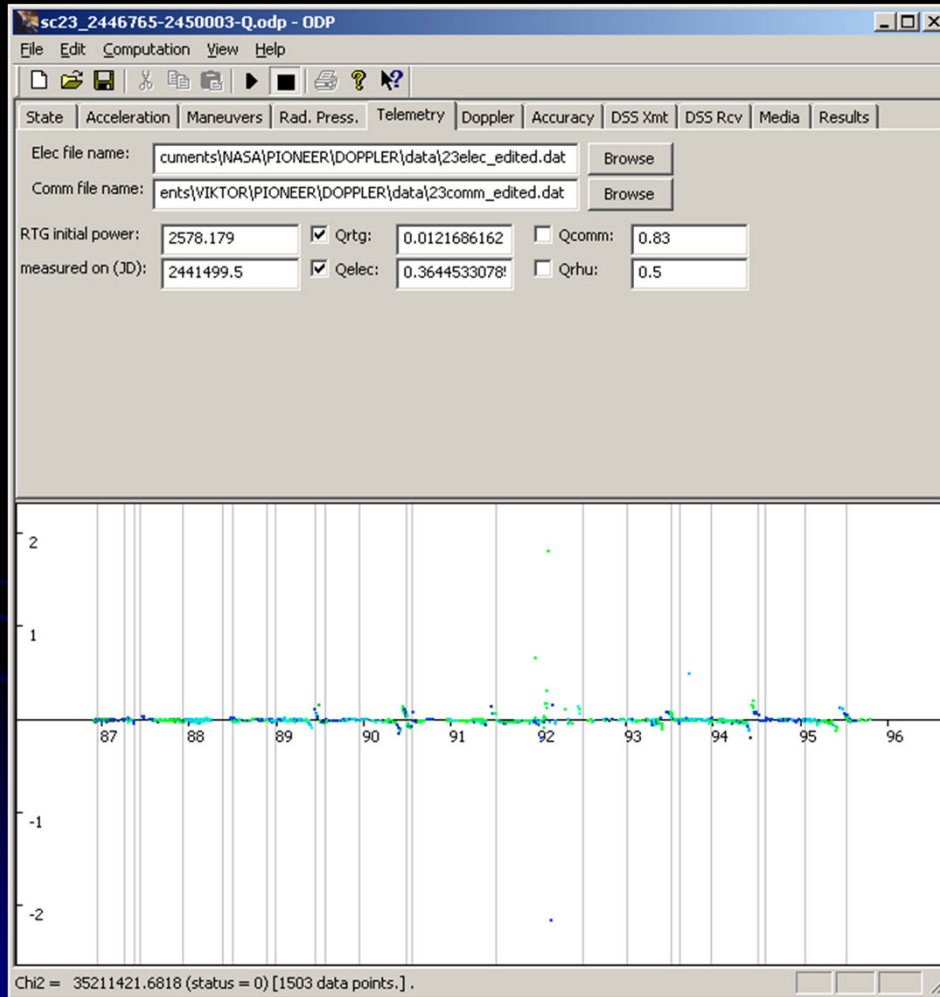


# Spin history

## Pioneer 11 spin detail (1985)



# Orbit determination with on-board forces



# Some results

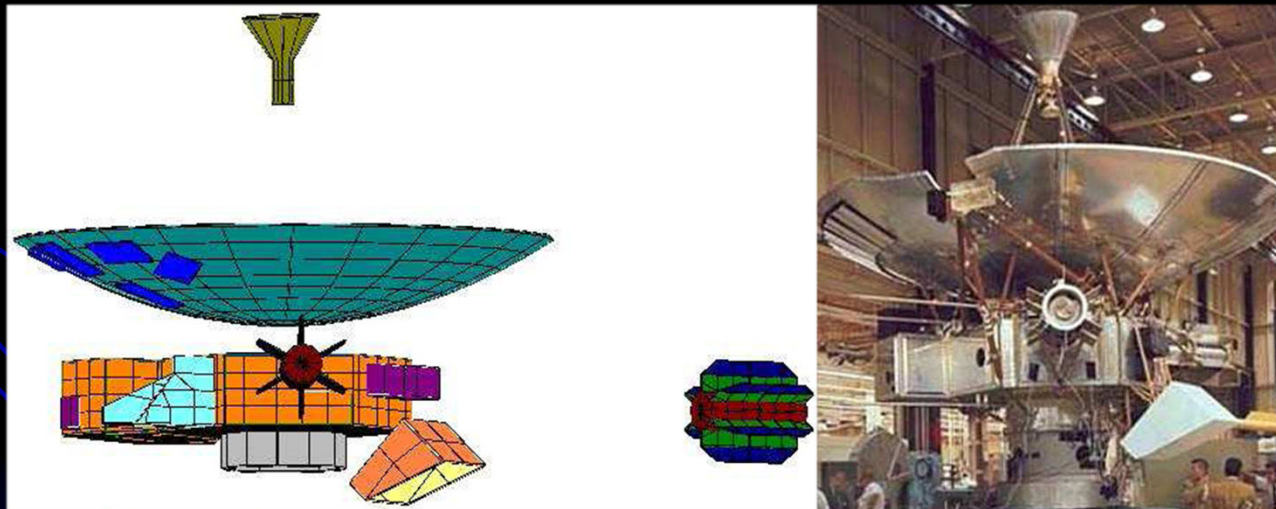
- Confirmed the presence of the Doppler anomaly
- Verified that the recoil force is indeed a linear function of RTG and electrical heat
- Recoil force yields good Doppler fit or conversely
- Doppler data can be used to estimate the recoil force coefficients

# Still not good enough

- Insufficiently detailed model (isothermal surfaces, no heat conduction, no individual instruments)
- Untested methodology
- Untested software

# The real McCoy

- Report on the “definitive” model is on its way.



# The biggest known unknown

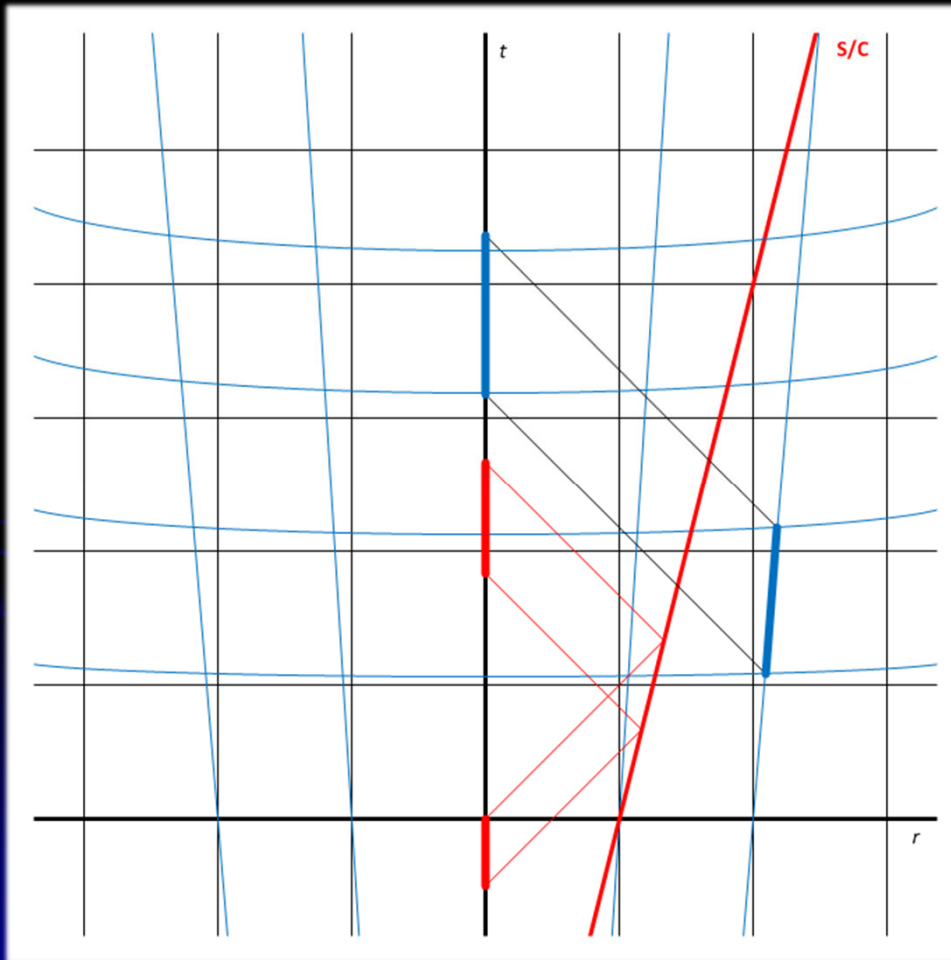
- RTG coating: “three mils of zirconia [ $\text{ZrO}_2$ ] in a sodium silicate binder”
- Some similar paints gained emittance in thermal vacuum chamber tests
- Other paints lost emittance
- This specific paint was never tested
- RTG exterior temperatures may also play a role
- A 5% decrease in emissivity can result in a 50% increase in the RTG anisotropy; a roughly 25% error in the overall thermal recoil force

# So what if it is not all thermal?

- Numerical coincidences (e.g.,  $|a_P| \approx cH_0$ ) are certainly destroyed even if the sign can be explained somehow
- Do not assume constancy
- Do not assume direction



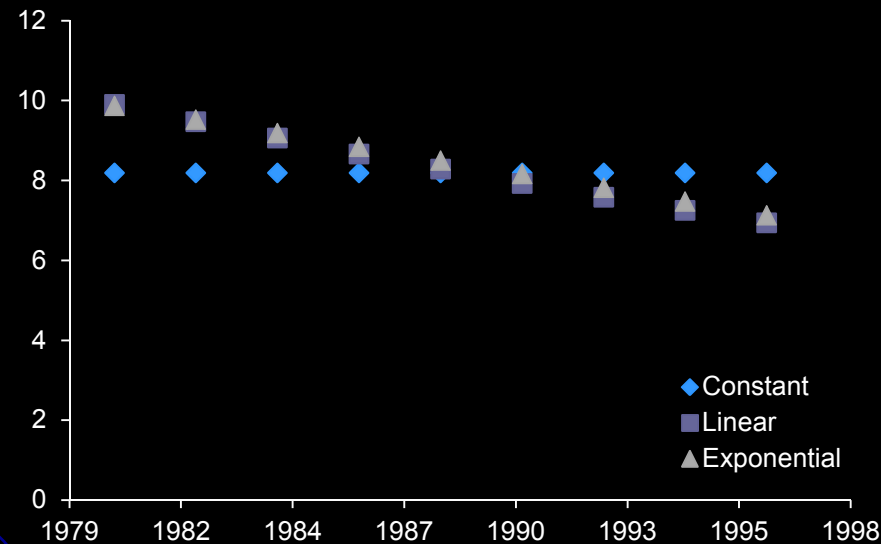
# The sign of $a_p$ vs. $cH_0$



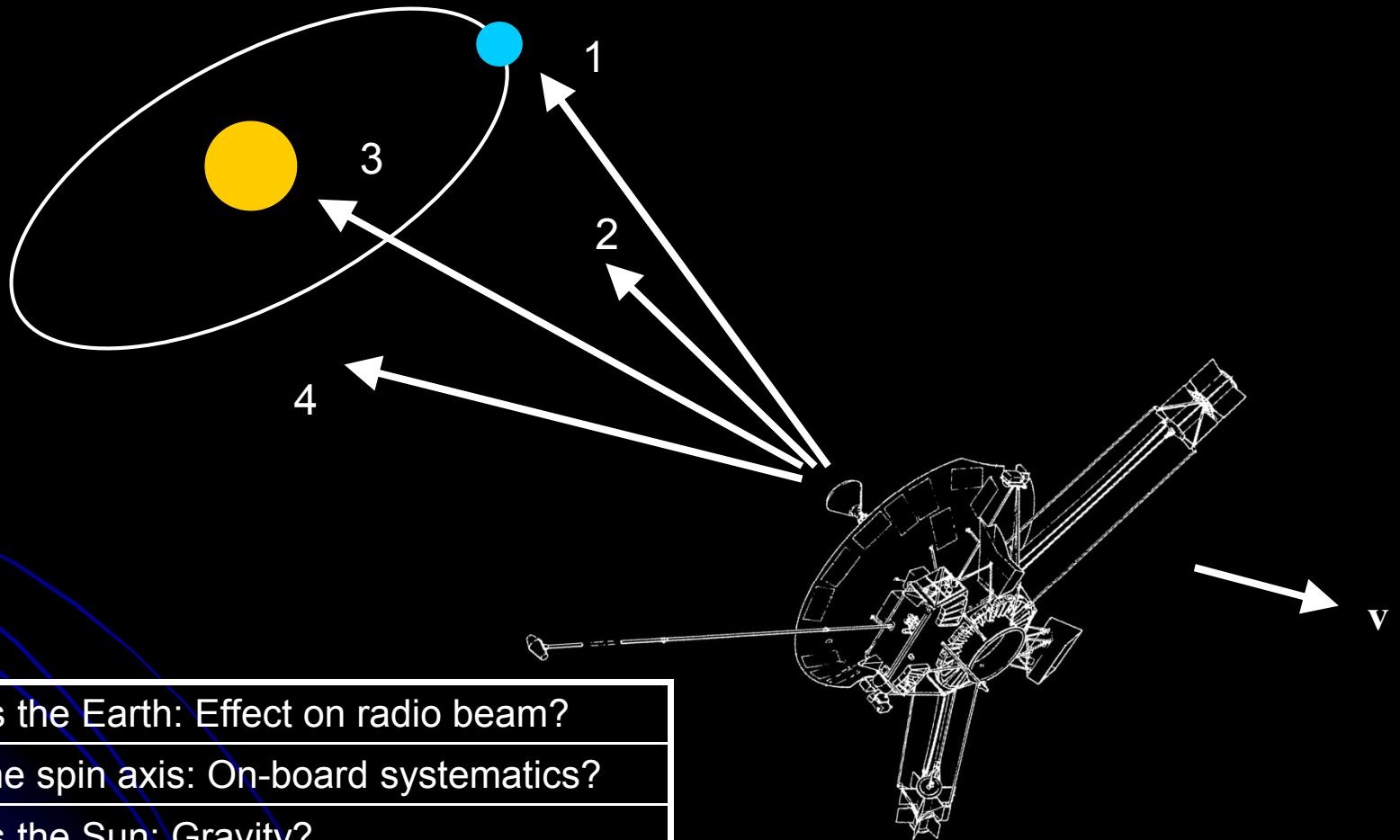
- Much has been said about  $a_p$  having the wrong sign for a cosmological origin
- This argument is not universally valid: an example is a conformal metric
  - The light of a distant star (blue) appears redshifted in accordance with Hubble's law
  - A radio signal of unit duration (half unit, actually, for drawing convenience) sent to a receding spacecraft S/C will be returned with a redshift. However, in the conformally transformed coordinate system, less time will appear to have elapsed, resulting in an apparent, small, additional blue shift. Ref: Hill, Phys. Rev. D (68) 232 (1945).

# Temporal behavior

- These models yield equally good residuals



# Unknown direction



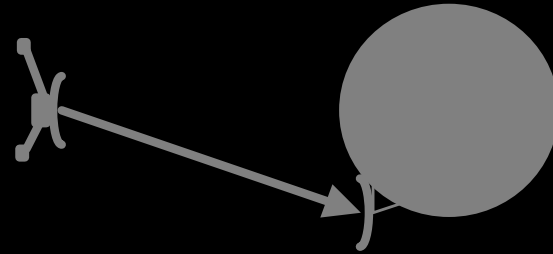
1.	Towards the Earth: Effect on radio beam?
2.	Along the spin axis: On-board systematics?
3.	Towards the Sun: Gravity?
4.	Opposite the direction of motion: Drag force?

# Beware of traps

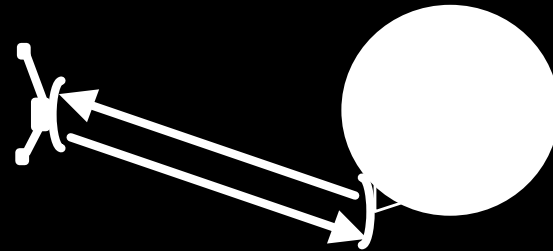
- All observations are two-way or three-way Doppler
- Doppler analysis is about counting ~~beets~~ ~~beasts~~ beats

# Doppler measurements

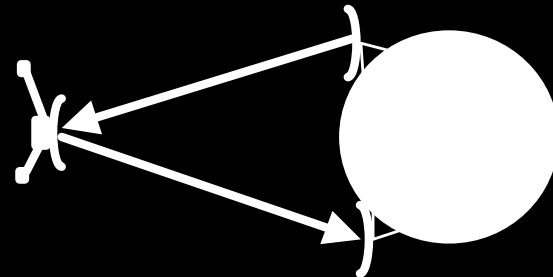
- One-way Doppler



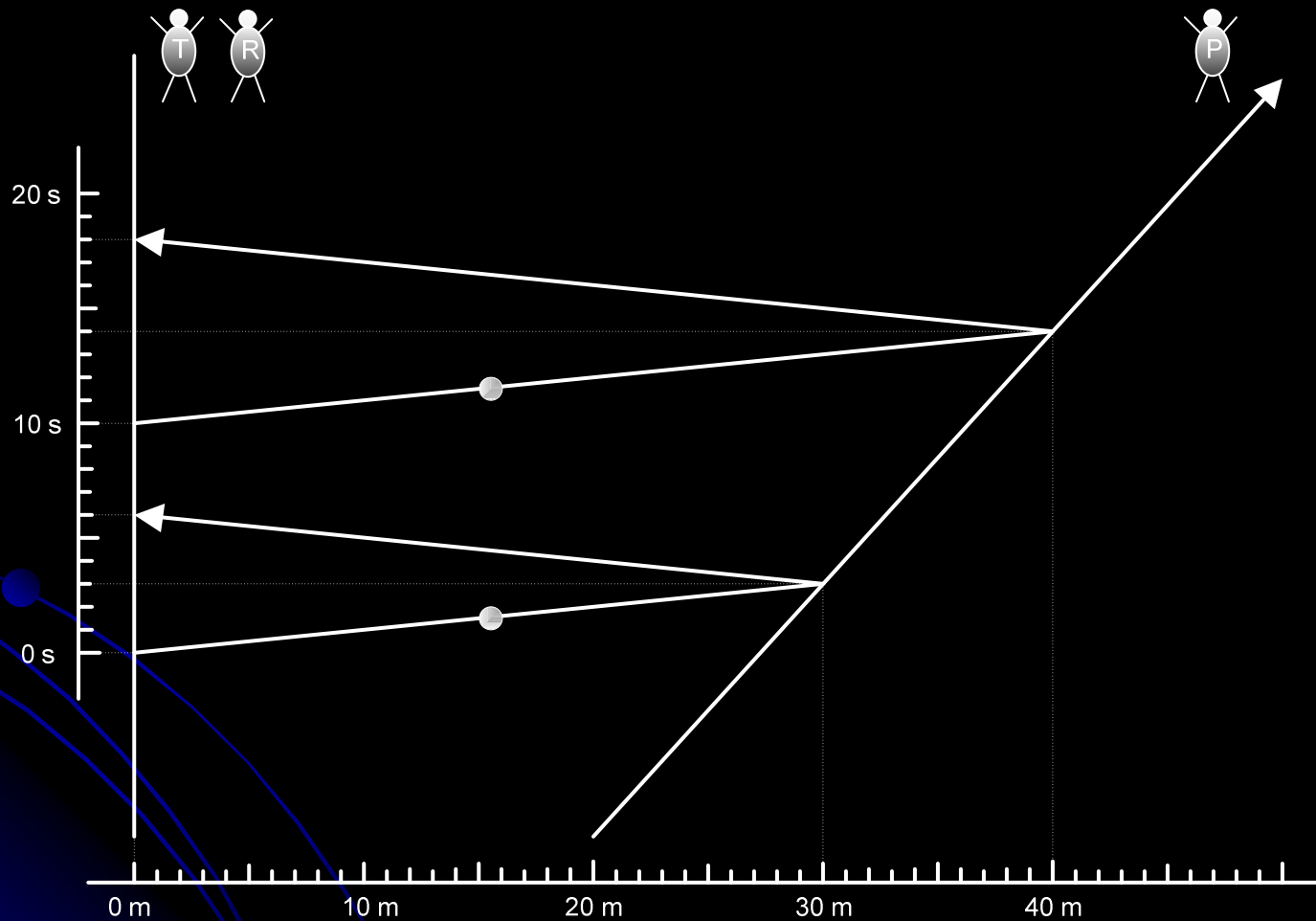
- Two-way Doppler



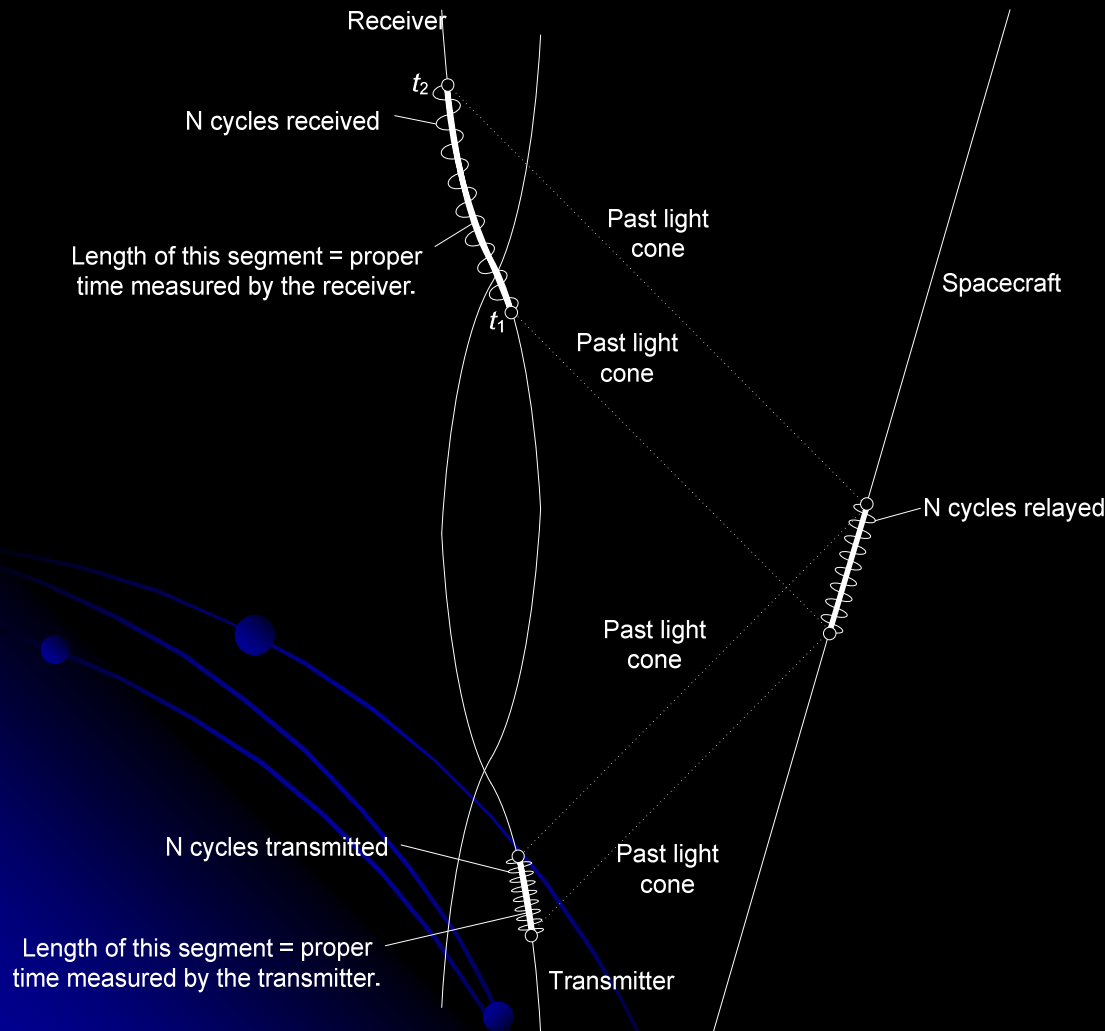
- Three-way Doppler



# Two-way (or three-way) Doppler



# Doppler measurements



- A measurement at the receiver is made between  $t_1$  and  $t_2$
- These two instances of time are projected back onto the spacecraft's and then the transmitter's modeled world line; model accounts for
  - Post-Newtonian gravity of major solar system bodies
  - Maneuvers
  - Small non-gravitational forces (e.g., propellant leaks)
  - Shapiro delay
  - Effects of interplanetary medium (solar plasma)
  - Effects of the atmosphere
  - Motion of ground stations (tides, continental drift)
- The number of cycles transmitted is computed from the transmitter's known frequency
- This is then compared to the actual cycle count observed at the receiver
- Model is iteratively refined to reduce the residual difference.

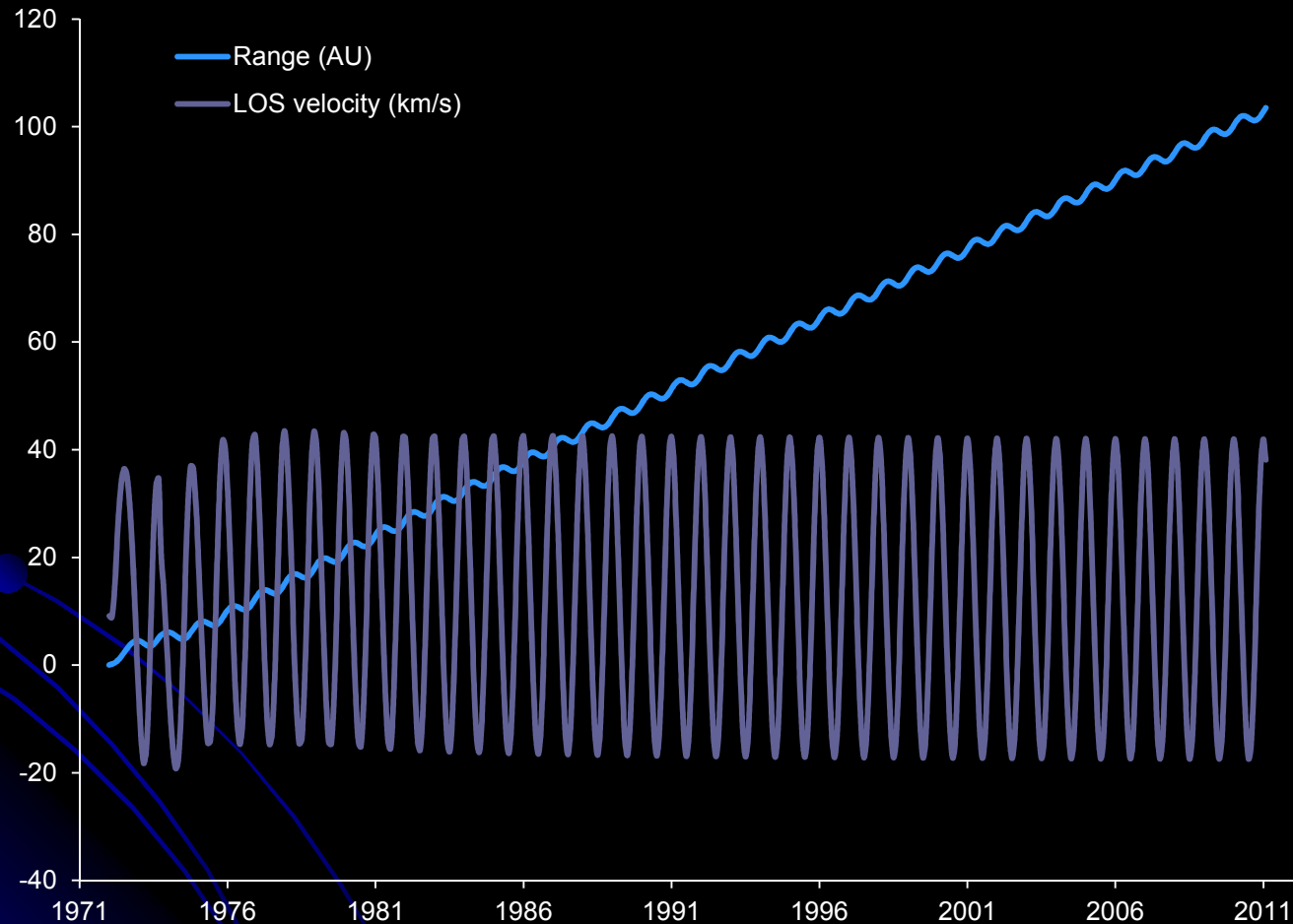
# Effects on the signal

- Solutions that depend on the path length (or travel time) of the signal are in trouble:
  - The geocentric velocity of the spacecraft is  $-20\dots+40$  km/s
  - The spacecraft-Earth distance is not monotonously increasing



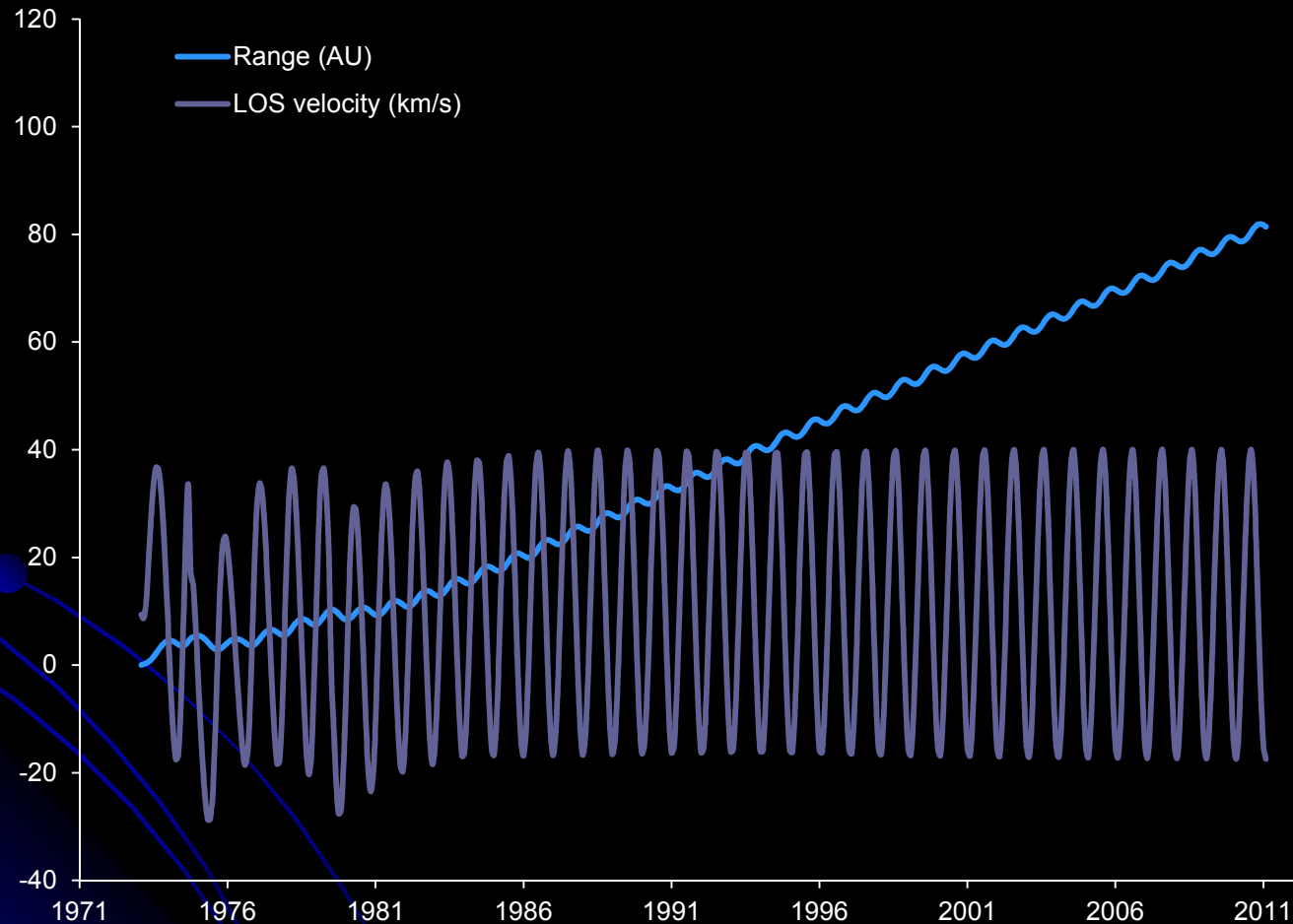
# Distance and geocentric velocity

## Pioneer 10



# Distance and geocentric velocity

## Pioneer 11



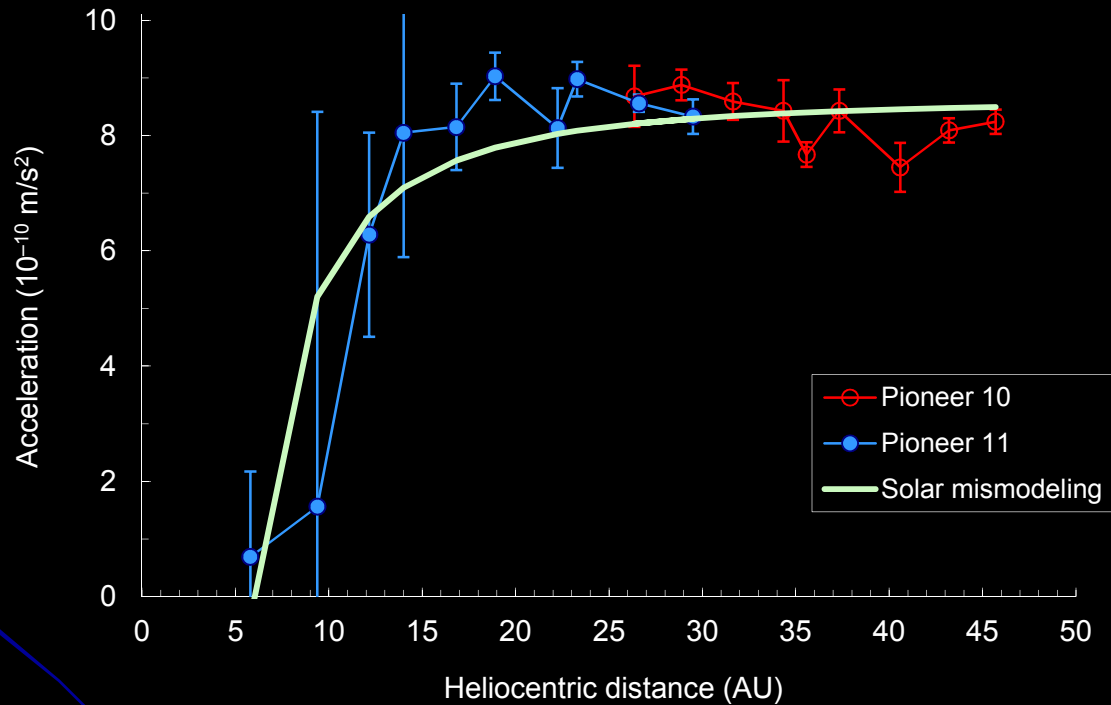
# The solar system

- Not all orbits are well known but the orbit of Saturn is known quite well thanks to Cassini
- Solution must not predict the wrong planetary orbits

# Onset

- The onset is almost certainly a model artifact
- Solar mismodeling can lead to apparent onset

# Onset



- At 6 AU, spacecraft still receives  $>225 \text{ W}$  of solar heating

# The flyby anomaly

- Instantaneous small velocity change near perigee
- Completely unlike the Pioneer anomaly
- Quite possibly a modeling artifact: use of different, accelerating reference frames

# Other spacecraft

- New Horizons: no funding for Doppler tracking; opportunity to confirm “onset” lost
- Voyagers: 3-axis stabilized
- Other spacecraft: wrong orbit, large RTGs, frequent maneuvers, etc.

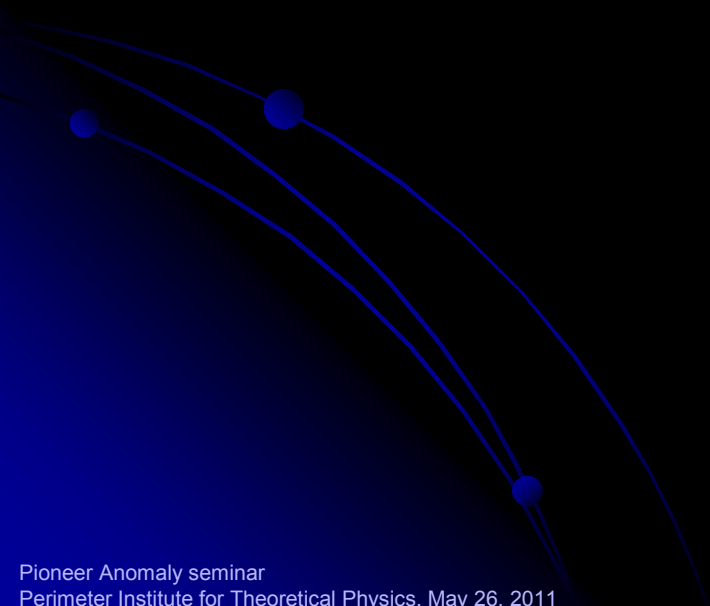
# Summary

- For the foreseeable future, Pioneer 10 and 11 remain the largest scale precision gravitational experiment ever conducted
- Ability to test post-Einsteinian gravity in the solar system would be marvelous
- Far more likely, this was just a wild goose chase
- Lessons to be learned:
  - Limits on navigational accuracy
  - Importance of preserving raw data and original documents
  - Dangers of “back of the envelope” estimation of small forces



# Thank you!

- Questions?



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